

PRA RULEBOOK: NON-SOLVENCY II FIRMS: FORMS INSTRUMENT 2015

Powers exercised

- A. The Prudential Regulation Authority (“PRA”) makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 60 (applications for approval);
 - (2) section 137G (the PRA’s general rules); and
 - (3) section 137T (general supplementary powers).
- B. The rule-making powers referred to above are specified for the purpose of section 138G(2) (Rule-making instrument) of the Act.

Pre-conditions to making

- C. In accordance with section 138J of the Act (Consultation by the PRA), the PRA consulted the Financial Conduct Authority. After consulting, the PRA published a draft of proposed rules and had regard to representations made.

PRA Rulebook: Non-Solvency II Firms: Forms Instrument 2015

- D. The PRA makes the rules in the Annexes to this instrument.

Commencement

- E. The Annexes come into force on 7 March 2016.

Citation

This instrument may be cited as the PRA Rulebook: Non-Solvency II Firms: Forms Instrument 2015.

By order of the Board of the Prudential Regulation Authority
10 December 2015.

Annex A

This Annex inserts hyperlinks to the applicable forms into the following rules, which were published in the PRA's Policy Statement PS26/15 'The prudential regime, and implementation of the Senior Insurance Managers Regime, for non-Solvency II firms'.

Part

NON-SOLVENCY II FIRMS – SENIOR INSURANCE MANAGERS REGIME – APPLICATIONS AND NOTIFICATIONS

...

7 FORMS

- 7.1 (1) Form A (long form) may be found ~~here~~ [here](#).
- (2) Form A (shortened form) may be found ~~here~~ [here](#).
- ...
- (6) Form E may be found ~~here~~ [here](#).
- (7) The *scope of responsibilities form* may be found ~~here~~ [here](#).

Annex B

This Annex inserts hyperlinks to the applicable forms into the following rules, which were published in the PRA's Policy Statement PS26/15 'The prudential regime, and implementation of the Senior Insurance Managers Regime, for non-Solvency II firms'.

Part

NON-SOLVENCY II FIRMS – SENIOR INSURANCE MANAGERS REGIME – TRANSITIONAL PROVISIONS

...

7 **FORMS**

...

7.2 The *scope of responsibilities* form may be found ~~here~~ [here](#).

Annex C

This Annex inserts hyperlinks to the applicable forms into the following rules, which were published in the PRA's Policy Statement PS26/15 'The prudential regime, and implementation of the Senior Insurance Managers Regime, for non-Solvency II firms'.

Part

LARGE NON-SOLVENCY II FIRMS – SENIOR INSURANCE MANAGERS REGIME – APPLICATIONS AND NOTIFICATIONS

...

7 FORMS

- 7.1 (1) Form A (long form) may be found ~~here~~ [here](#).
- (2) Form A (shortened form) may be found ~~here~~ [here](#).
- ...
- (5) Form E may be found ~~here~~ [here](#).
- (6) The *scope of responsibilities form* may be found ~~here~~ [here](#).

Annex D

This Annex inserts hyperlinks to the applicable forms into the following rules, which were published in the PRA's Policy Statement PS26/15 'The prudential regime, and implementation of the Senior Insurance Managers Regime, for non-Solvency II firms'.

Part

LARGE NON-SOLVENCY II FIRMS – KEY FUNCTION HOLDER – NOTIFICATIONS

...

4 FORMS

4.1 The *key function holder notification form*, Form M, may be found ~~here~~ [here](#).

...